

# **In the United States Patent and Trademark Office**

Serial No.:	10/801,238	Docket No.:	17209-354
Filed:	03-15-2004	Conf.No.:	2021 Art Unit: 3684
Applicant(s):	David Lawrence	Examiner:	Rankins, William E.
For:	Public offering risk management		

Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **AMENDMENT AND RESPONSE**

Sir:

Further to the Non-Final Office Action dated December 8, 2010 (hereinafter “Office Action”), and in view of the following amendments and/or remarks, David Lawrence and/or Attorney(s) for applicant(s) (hereinafter “Applicant”) request entry thereof and reconsideration of the above-referenced application.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 6 of this paper.

**Double Patenting** remarks begin on page 7 of this paper.

**35 USC § 103** remarks begin on page 7 of this paper.